Enservco Corp Form 4 November 23, 2015

# FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB

**OMB APPROVAL** 

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Form 4 or Form 5 obligations may continue. See Instruction

Check this box

if no longer

Section 16.

subject to

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* CROSS RIVER CAPITAL MANAGEMENT LLC

(Last)

(First)

31 BAILEY AVENUE, UNIT D

(Middle)

(Street)

2. Issuer Name and Ticker or Trading Symbol

Enservco Corp [ENSV]

3. Date of Earliest Transaction (Month/Day/Year)

11/19/2015

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

\_X\_\_ 10% Owner Director \_ Other (specify Officer (give title below)

6. Individual or Joint/Group Filing(Check

Applicable Line)

Form filed by One Reporting Person \_X\_ Form filed by More than One Reporting

Person

RIDGEFIELD, CT 06877

|   |   |   |  |   |         | •  | CISOII   |   |                  |
|---|---|---|--|---|---------|--|--|---|------------------|
| (City)                                  | (State)                                 | (Zip) Tab   | ole I - Non-                           | Derivative Sec  | curitie | s Acqui  | red, Disposed of,  | or Beneficial   | ly Owned         |
| 1.Title of<br>Security<br>(Instr. 3)    | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3.<br>Transactic<br>Code<br>(Instr. 8) | 4. Securities Acquired (A) iomr Disposed of (D) (Instr. 3, 4 and 5)  (A) or |         | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |                  |
| Common<br>Stock,<br>\$.005 par<br>value | 11/19/2015                              |   | Code V                                 |   | (D)     | Price<br>\$<br>0.49  |  | D (1)   |                  |
| Common<br>Stock,<br>\$.005 par<br>value | 11/19/2015                              |   | P                                      | 3,583,000   | A       | \$<br>0.49   | 8,766,117  | I   | See Footnote (2) |
| Common<br>Stock,<br>\$.005 par<br>value | 11/19/2015                              |   | P                                      | 3,583,000   | A       | \$<br>0.49   | 8,766,117  | I   | See<br>Footnote  |

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| Common<br>Stock,<br>\$.005 par<br>value | 11/19/2015 | P | 3,583,000 | A | \$<br>0.49 | 8,766,117 | I     | See Footnote (2) |
|---|------------|---|-----------|---|------------|-----------|-------|------------------|
| Common<br>Stock,<br>\$.005 par<br>value |            |   |           |   |            | 73,900    | D (3) |                  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date (Month/Day/Year) | 4.<br>Transacti<br>Code<br>(Instr. 8) | 5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, | 3                   | ate                | 7. Titl<br>Amou<br>Under<br>Securi<br>(Instr. | int of<br>lying                        | 8. Price of Derivative Security (Instr. 5) | 9. Nu<br>Deriv<br>Secur<br>Bene<br>Owne<br>Follo<br>Repo<br>Trans<br>(Instr |
|---|---|--------------------------------------|---------------------------------------|---|---------------------|--------------------|---|--|--|---|
|   |   |                                      | Code V                                | 4, and 5) (A) (D)   | Date<br>Exercisable | Expiration<br>Date | Title   | Amount<br>or<br>Number<br>of<br>Shares |  |   |

# **Reporting Owners**

|  | Relationships |              |         |       |  |
|--|---------------|--------------|---------|-------|--|
| Reporting Owner Name / Address   | Director      | 10%<br>Owner | Officer | Other |  |
| CROSS RIVER CAPITAL MANAGEMENT LLC<br>31 BAILEY AVENUE<br>UNIT D<br>RIDGEFIELD, CT 06877 |               | X            |         |       |  |
| Cross River Management LLC<br>31 BAILEY AVENUE<br>UNIT D<br>RIDGEFIELD, CT 06877         |               | X            |         |       |  |
|  |               | X            |         |       |  |

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Cross River Partners LP C/O CROSS RIVER CAPITAL MANAGEMENT LLC 31 BAILEY AVENUE, UNIT D RIDGEFIELD, CT 06877

MURPHY RICHARD C/O CROSS RIVER CAPITAL MANAGEMENT LLC 31 BAILEY AVENUE, UNIT D RIDGEFIELD, CT 06877

X

# **Signatures**

| Cross River Capital Management LLC, By: /s/ Richard Murphy, Managing Member  | 11/23/2015 |
|--|------------|
| **Signature of Reporting Person  | Date       |
| Cross River Management LLC, By: /s/ Richard Murphy, Managing Member  | 11/23/2015 |
| **Signature of Reporting Person  | Date       |
| Cross River Partners LP, By:/s/ Richard Murphy, Managing Member of Cross River Capital Management LLC, its General Partner | 11/23/2015 |
| **Signature of Reporting Person  | Date       |
| /s/ Richard Murphy   | 11/23/2015 |
| **Signature of Reporting Person  | Date       |

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The reported securities are directly owned by Cross River Partners LP.
  - The reported securities are directly owned by Cross River Partners LP (the "Partnership"), a limited partnership whose general partner is Cross River Capital Management LLC (the "General Partner"), and may be deemed indirectly beneficially owned by the General Partner and by Cross River Management LLC, as the investment manager of the Partnership (the "Investment Manager"). The reported securities
- (2) may also be deemed indirectly beneficially owned by Richard Murphy, as Managing Member of both the General Partner and the Investment Manager. The Reporting Persons disclaim beneficial ownership of the reported securities except to the extent of their pecuniary interest therein, and this report shall not be deemed an admission that such Reporting Persons are the beneficial owners of the securities for purposes of Section 16 of the Securities Exchange Act of 1934, as amended, or for any other purpose.
- (3) These securities are owned by Richard Murphy, who is a Reporting Person.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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