

CROSS RIVER CAPITAL MANAGEMENT LLC  
 Form 4  
 July 03, 2018

**FORM 4**

UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
 Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
**CROSS RIVER CAPITAL MANAGEMENT LLC**

(Last) (First) (Middle)

31 BAILEY AVENUE, UNIT D

(Street)

RIDGEFIELD, CT 06877

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
**Enservco Corp [ENSV]**

3. Date of Earliest Transaction (Month/Day/Year)  
 06/29/2018

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

\_\_\_ Director \_\_\_X\_\_\_ 10% Owner  
 \_\_\_ Officer (give title below) \_\_\_ Other (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 \_\_\_ Form filed by One Reporting Person  
 \_\_\_X\_\_\_ Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|
|                                 |                                      |  | Code                           | V   | Amount  | (A) or (D)   | Price   |
| Common Stock, \$.005 par value  | 06/29/2018                           |  | X                              |   | 1,612,902   | A  | \$ 0.31   |
| Common Stock, \$.005 par value  | 06/29/2018                           |  | X                              |   | 0   | A  | \$ 0.31   |
| Common Stock, \$.005 par value  |                                      |  |                                |   |   |  | 73,900  |

See Footnote (2)

D (1)

D (3)

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Security (Instr. 3 and 4) |                                |           |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--------------------------------|-----------|
|  |  |                                      |  | Code                           | V (A) (D)   | Date Exercisable   | Expiration Date   | Title                          | Amount    |
| Warrants                                   | \$ 0.31  | 06/29/2018                           |  | X                              | 1,612,902   | 06/28/2017   | 06/28/2022  | Common Stock, \$.005 par value | 1,612,902 |

## Reporting Owners

| Reporting Owner Name / Address  | Relationships |           |         |       |
|---|---------------|-----------|---------|-------|
|   | Director      | 10% Owner | Officer | Other |
| CROSS RIVER CAPITAL MANAGEMENT LLC<br>31 BAILEY AVENUE<br>UNIT D<br>RIDGEFIELD, CT 06877                              |               | X         |         |       |
| Cross River Partners LP<br>C/O CROSS RIVER CAPITAL MANAGEMENT LLC<br>31 BAILEY AVENUE, UNIT D<br>RIDGEFIELD, CT 06877 |               | X         |         |       |
| Cross River Management LLC<br>31 BAILEY AVENUE<br>UNIT D<br>RIDGEFIELD, CT 06877                                      |               | X         |         |       |
| MURPHY RICHARD<br>C/O CROSS RIVER CAPITAL MANAGEMENT LLC<br>31 BAILEY AVENUE, UNIT D<br>RIDGEFIELD, CT 06877          | X             | X         |         |       |

## Signatures

|  |            |
|--|------------|
| Cross River Capital Management LLC, By: /s/ Richard Murphy, Managing Member  | 07/03/2018 |
| **Signature of Reporting Person  | Date       |
| Cross River Partners LP, By:/s/ Richard Murphy, Managing Member of Cross River Capital Management,LLC, its General Partner | 07/03/2018 |
| **Signature of Reporting Person  | Date       |
| Cross River Management LLC, By: /s/ Richard Murphy, Managing Member  | 07/03/2018 |
| **Signature of Reporting Person  | Date       |
| /s/ Richard Murphy   | 07/03/2018 |
| **Signature of Reporting Person  | Date       |

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) The reported securities are directly owned by Cross River Partners LP.

The reported securities are directly owned by Cross River Partners LP (the "Partnership"), a limited partnership whose general partner is Cross River Capital Management LLC (the "General Partner"), and may be deemed indirectly beneficially owned by the General Partner and by Cross River Management LLC, as the investment manager of the Partnership (the "Investment Manager"). The reported securities

- (2) may also be deemed indirectly beneficially owned by Richard Murphy, as Managing Member of both the General Partner and the Investment Manager. The Reporting Persons disclaim beneficial ownership of the reported securities except to the extent of their pecuniary interest therein, and this report shall not be deemed an admission that such Reporting Persons are the beneficial owners of the securities for purposes of Section 16 of the Securities Exchange Act of 1934, as amended, or for any other purpose.
- (3) These securities are directly owned by Richard Murphy, who is a Reporting Person.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.