

Edgar Filing: BRONFMAN MATTHEW - Form 5

BRONFMAN MATTHEW
 Form 5
 November 15, 2001

 FORM 5

 OMB APPROVAL

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// CHECK BOX IF NO
 LONGER SUBJECT TO
 SECTION 16. FORM
 4 OR FORM 5
 OBLIGATIONS MAY
 CONTINUE. SEE
 INSTRUCTION 1(b)
 // FORM 3 HOLDINGS
 REPORTED
 // FORM 4
 TRANSACTIONS
 REPORTED

U.S. SECURITIES AND EXCHANGE COMMISSION
 WASHINGTON, DC 20549
 ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP
 Filed pursuant to Section 16(a) of the Securities
 Exchange Act of 1934,
 Section 17(a) of the Public Utility
 Holding Company Act of 1935 or Section 30(f) of
 the Investment Company Act
 of 1940

1. Name and Address of Reporting Person*			2. Issuer Name and Ticker or Trading Symbol		6.
Bronfman, Matthew			Tweeter Home Entertainment Group, Inc. TWTR		
(Last)	(First)	(Middle)	3. IRS Identification		4. Statement for
40 Pequot Way			Number of Reporting		Month/Year
	(Street)		Person, if an Entity		9/2001
	Canton, Ma	02021	(Voluntary)		5. If Amendment,
(City)	(State)	(Zip)			Date of Original
					(Month/Year)
					7. I
					(

TABLE 1 -- NON-DERIVATIVE SECURITIES ACQUIRED, DISPOSED OF, OR BENEFICIAL

1. Title of Security (Instr. 3)	2. Trans- action Date (Month/ Day/ Year)	3. Transac- tion Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount o curities cially O End of I Fiscal Y (Instr.
			Amount (A) or Price (D)	

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*If the form is filed by more than one Reporting Person, see Instruction 4(b)(v).

FORM 5 (CONTINUED)

TABLE II -- DERIVATIVE SECURITIES ACQUIRED, DISPOSED OF, OR BENEFICIAL
(E.G., PUTS, CALLS, WARRANTS, OPTIONS, CONVERTIBLE SECURITIES)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7.
				(A) (D)	Date Exercisable	Expiration Date
OPTION	12.97	9/27/01	J(1)	3,500	9/27/01	9/27/04

1. Title of Derivative Security
(Instr. 3)

9. Number of Derivative Securities

10. Ownership of Derivative Security:

11. Nature of Indirect Beneficial

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Beneficially
Owned at End
of Year
(Instr. 4)

Direct (D)
or Indirect (I)
(Instr. 4)

Ownership
(Instr. 4)

111,168

D

Explanation of Responses:

1. The 3,500 option shares granted on 9/27/2001 are fully vested upon issuance and expire on 9/27/2004.

**Intentional misstatements or omissions of facts constitute Federal Criminal Violations.
See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

/s/

/s/

**Si

Note. File three copies of this form, one of which must be manually signed.
If space provided is insufficient, see Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are unless the form displays a currently valid OMB number.